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AG-3/19  
MRD

**DCAA MEMORANDUM FOR REGIONAL DIRECTORS COVER SHEET**  
**AUDIT GUIDANCE/MANAGEMENT MEMORANDUM No. 97-PAS-040(R)**  
**DEFENSE CONTRACT AUDIT AGENCY**

Date of MRD: 14 March 1997  
Subject of MRD: Audit Management Guidance, Revision of Procurement Integrity Act  
Provisions

Current audit guidance and/or audit management guidance affected:

CAM

Paragraph Explanation of Effect on Current Version of CAM  
10-211 Requirement to list DCAA personnel having access to proprietary or source selection  
Fig. 10-2-3 information has been deleted

STANDARD AUDIT PROGRAMS

Type of Program	Pro Forma Document Name	Step No.	Explanation of Effect on Current Audit Program

PRO FORMA AUDIT REPORTS

Type of Report	Pro Forma Document Name	Explanation of Effect on Current Report
<u>Proposal</u>	<u>210RPT.DOC</u>	<u>Requirement to list DCAA personnel having access to proprietary or source</u>
<u>Preaward</u>	<u>177RPT.DOC</u>	<u>selection information has been deleted</u>

MEMORANDUMS FOR REGIONAL DIRECTORS

MRD No.	Date	Subject/Explanation of Change

INTERNAL CONTROL QUESTIONNAIRE/VULNERABILITY ASSESSMENT PROCEDURES

ICQC or VAP	Section No.	State Control(s) Affected by the Change

DCAA PAMPHLETS/REGULATIONS/INSTRUCTIONS

DCAAP/RI/No.	Date	Page/Sec/Par	Explanation of Effect

PROGRAM OBJECTIVE DOCUMENT/FMIS USER MANUAL

POD/Man	Page/Sec/Par	Explanation of Effect

**DISSEMINATION STATEMENT A**

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IN REPLY REFER TO

**DEFENSE CONTRACT AUDIT AGENCY**  
8725 JOHN J. KINGMAN ROAD, SUITE 2135  
FORT BELVOIR, VA 22060-6219

PAS 730.1

14 March 1997  
97-PAS-040(R)

**MEMORANDUM FOR REGIONAL DIRECTORS, DCAA**  
**DIRECTOR, FIELD DETACHMENT, DCAA**

**SUBJECT: Audit Management Guidance, Revision of Procurement Integrity Act Provisions**

Public Law 104-106 deleted the requirements previously contained in 41 U.S.C. 423(e) regarding certification and enforcement matters. The Public Law eliminates the requirement to list the individuals having access to proprietary or source selection information in audit reports. FAOs may begin implementation upon receipt of this MRD. FAOs should continue to list the primary DCAA contacts as we do in all audit reports.

The prior requirement for listing individuals having access to proprietary or source selection information applied almost exclusively to price proposal evaluations. This change in the law will be reflected in CAM Section 10-211 and Figure 10-2-3 in the July 1997 edition of CAM. Sample revisions to CAM Figure 10-2-3 (the "DCAA Personnel" and "Report Authorization" sections of a code 210 audit report) are displayed on the enclosure. The price proposal and preaward system survey sample reports on the DIIS will be changed in the April 1997 quarterly update.

FAOs should direct questions to their regional office. Regional offices unable to answer or with questions of their own should contact Janice Mabey, Program Manager, Auditing Standards Division, at (703) 767-3274. Our cc:Mail and FAX numbers are \*pas@hq1.dcaa.mil and (703) 767-3234.

A handwritten signature in black ink, appearing to read "L. Uhlfelder", is positioned above the typed name.

Lawrence P. Uhlfelder  
Assistant Director  
Policy and Plans

Enclosure  
Revised CAM Fig, 10-2-3

**Figure 10-2-3**

Audit Report No. XX81-94A21000XXX

**DCAA PERSONNEL**

Primary contacts regarding this audit: Telephone No.

Margaret E. Gompert, Senior Auditor	(602) 561-3112
<u>Karen J. Schmidt, Supervisory Auditor</u>	<u>(602) 561-3112</u>

Other contacts individuals having involvement with  
the audit and having access to proprietary  
or source selection information regarding  
this audit report:

Robert S. Keri, Branch Manager	(602) 379-4102
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Patricia Letzler, Procurement Liaison Auditor	(703) 746-4322
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**RELEVANT DATES**

Request for Audit: PCO -- dated 13 May 199X; received 14 May 199X  
ACO -- dated 14 May 199X; received 14 May 199X

**AUDIT REPORT AUTHORIZED BY:**

Robert S. Keri  
Branch Manager  
DCAA Desert Valley Branch Office

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Enclosure

**DTIC QUALITY INSPECTED 3**